

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventor:

Hiroaki SUDO et al.

Group Art Unit: 2661

Appln. No.:

09/550,167

Examiner: R. Pizarro

Filed:

April 14, 2000

For:

OFDM RECEIVING APPARATUS, OFDM TRANSMISSION APPARATUS AND OFDM COMMUNICATION METHOD

REQUEST FOR CONSIDERATION OF INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

Dear Sir:

With respect to the Information Disclosure Statements filed April 14, 2000 and June 28, 2004, applicants respectfully request that the Examiner initial and return a copy of the previously supplied Form PTO-1449's indicating consideration of the references; for the convenience of the Examiner, a copy of the Form PTO-1449's are attached, as well as a copy of the IDS's and PTO date-stamped filing receipts.

Respectfully submitted,

Date:

March 10, 2005

James E. Ledbetter

Registration No. 28,732

JEL/ejw

Attorney Docket No. <u>JEL 31064</u> STEVENS, DAVIS, MILLER & MOSHER, L.L.P.

1615 L STREET, NW, Suite 850

P.O. Box 34387

WASHINGTON, DC 20043-4387

Telephone: (202) 785-0100 Facsimile: (202) 408-5200

# BEST AVAILABLE COPY

The Official Dating Stamp of the Patent and Trademark O $^{-}$  9 Hereon is acknowledgment of the filling  $\epsilon$ 



New Patent Application: 57 pgs. Spec., 16 Claims, Abstract, 24 sheets of drwgs. (Figs. 1-24); executed Declaration; PTO-1595 w/Assignment and check no. 13188 for \$40.00; Information Disclosure Statement w/PTO-1449 with two IEICE Technical Reports (in Japanese) w/abstracts (in English) thereof and three sheets of Applicants' comments; Claim for Priority w/one Japanese certified priority document; and Preliminary Amendment.

Description of paper: New Utility Pater	nt Transmittal (in dup.) w/check no. 13187	
for \$768.00	The state of the s	
Name of Applicants: <u>Hiroaki SUDC</u>	D et al.	
Serial No.: New Patent App	plication	
Atty. File No.: <u>JEL 31064</u>	_Sender's Initials: <u>JEL/Imq</u>	
IDE	STEVENS, DAVIS, MILLER & MOSHER, L.L.	P,



STEVENS, DAVIS, MILLER & MOSHER, L.L.P 1615 L Street, NW, Suite 850 P.O. Box 34387 Washington, DC 20043-4387



In re the Application of

Inventors:

Hiroaki SUDO et al.

Application No.:

New Patent Application

Filed:

April 14, 2000

For:

OFDM COMMUNICATION APPARATUS

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the references listed on the attached Form PTO 1449.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see <a href="Beckman Instruments">Beckman Instruments</a>, Inc. v. <a href="Chemtronics">Chemtronics</a>, Inc., 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106. Applicants respectfully request that this art be expressly

Information Disclosure Statement - H. SUDO et al.
April 14, 2000
Page 2

considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,

Date: April 14, 2000

James E. Ledbetter Registration No. 28,732

Attorney Docket No. JEL 31064

STEVENS, DAVIS, MILLER & MOSHER, L.L.P. 1615 L Street, N.W., Suite 850 P.O. Box 34387 Washington, D.C. 20043-4387 Telephone: (202) 408-5100

Facsimile: (202) 408-5200

FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Patent and Trademark Office INFORMATION DISCLOSURE									ATT	Y. DOCKET NO.		SERIAL NO.				
								<b>د</b> ين		JEL 31064		New Patent Application				
STATEMENT BY APPLICANT NAR 10 2005									APP	APPLICANT Hiroaki SUDO et al.						
(Use several sheets if necessary)										NG DATE ril 14, 2000		GROUP Unassigned				
									ATENT	DOCUMENTS						
EXAMINER INITIAL		DOCUMENT NUMBER							DATE	NAME CLAS		SS SUBCLAS IF APPROPR				
		,								:						
						FO	REIG	N P	ATENT	DOCUMENTS			· ***			
				DOCU	JMENT N	UMBER			DATE	COUNTRY	CLASS	SUBCLAS TRANSLATION S		ATION		
							Γ.				-	YES	NO			
												1.				
														· .		
		<u></u>								•		1				
· · · · · · · · · · · · · · · · · · ·												·	<u> </u>			
	 	HEF	R DC	CUN	/FN	TS (I	nelu	dina	Author	, Title, Date, Pe	ertinent	Pages F	tc.)			
		Akii of II	nori 1 EICE,	Taira (	et al., 9-41,	"A S RCS9	tudy (	on Tin (1999-	ning Syn	chronization Tec 49-54, in Japanes	hnique fo	or OFDM," 1	Гесhnical	Report ereof and		
	Nobuaki Mochizuki et al., "Frequency and Timing Error Control Technique using a Broadcast Channel for OFDM," IEICE, Sogo Taikai (1999, B-5-10), p. 361, in Japanese, with abstract in English thereof and one page of comments provided by Applicants.												ast			
			-													
EXAMINE	R						DA	TE CC	NSIDER	ED	<u></u>					

EXAMINER: Initial if citation is considered, draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Form PTO-1449 [6-4])



### **FILE COPY**

## NS, DAVIS, MILLER & MOSHER, L.L.P.

1615 L Street, NW, Suite 850 Washington, DC 20036

THE PATENT AND TRADEMARK OFFICE OFFICIAL FILING DATE STAMP HEREON IS ACKNOWLEDGMENT OF FILING:

	Amendment		New Patent Application
	Response to Restriction Requirement		Pages of Specification
	Supplemental Amendment		Claims
	Election Requirement		Declaration/Power of Attorney
	Claim for Priority w/	,	
_	Certified Priority Document		Abstract of the Disclosure
	Petition for Month Extension of Time		Pages Formal/Informal Drawings
_	Request for Consideration		Assignment Document w/PTO-1595
	Letter to Official Draftsman		Small Entity Declaration
_	with shts. of formal drwgs.	$\overline{XX}$	Information Disclosure Statement
	Issue Fee Transmittal (in dup.)		w/PTO-1449 w/3 JP docs., 1 EP doc.,
	Change of Address and Associate		2 English Abstract; 1 JP Office Action
<del></del>	Power of Attorney		w/English translation thereof
XX	Certification Under 37 CFR 1.97(e)(1)		

APPLICANTS:

Hiroaki SUDO, et al

TITLE:

OFDM COMMUNICATION APPARATUS

DATE:

April 14, 2000

DOCKET NO.:

JEL 31064

APPLN. NO.:

09/550,167



DOCKETED

By BN on 66/29/2004

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Inventors:

Hiroaki SUDO, et al.

Art Unit: 2749

Application No.:

09/550,167

Examiner: R. Pizarro

Filed:

April 14, 2000

For:

OFDM COMMUNICATION APPARATUS

### INFORMATION DISCLOSURE STATEMENT

Assistant Commissioner of Patents Washington, DC 20231

Dear Sir:

Pursuant to Rules 56 and 99, Applicants hereby call the attention of the Patent Office to the documents listed on the attached Form PTO 1449. Attached is a Japanese Office Action dated March 30, 2004 with a partial English translation thereof. US '821 corresponds to JP '097. EP '241 corresponds to JP '131.

Applicants present this art so that the Patent Office may, in the first instance, determine any relevancy thereof to the presently claimed invention, see <u>Beckman Instruments</u>, <u>Inc. v. Chemtronics</u>, <u>Inc.</u>, 439 F.2d 1369, 1380, 165 USPQ 355, 364 (5th Cir. 1970). Also see Patent Office Rules 104 and 106. Applicants respectfully request that this art be expressly considered during the prosecution of this application and made of record herein and appear among the "References Cited" on any patent to issue herefrom.

Respectfully submitted,

Date: June 30, 2004

James E. Ledbetter

Registration No. 28,732

JEL/mat

ATTORNEY DOCKET NO. <u>JEL 31064</u>
STEVENS, DAVIS, MILLER & MOSHER, L.L.P.
1615 L STREET, NW, Suite 850
WASHINGTON, DC 20043-4387
Telephone: (202) 785-0100
Facsimile: (202) 408-5200

#### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application

Inventor: Hiroaki SUDO, et al.

Group Art Unit: 2749

Appln. No.:

09/550,167

Examiner: R. Pizarro

Filed:

April 14, 2000

For:

OFDM COMMUNICATION APPARATUS

CERTIFICATION UNDER 37 CFR §1.97(e)(1)

Assistant Commissioner of Patents Washington, DC 20231

Dear Sir:

In fulfillment of 37 CFR 1.97(c)(1) and 1.97(e)(1), it is hereby certified that each item of information contained in the attached Information Disclosure Statement was first cited in any communication (see the attached copy of a Japanese Office Action dated March 30, 2004) from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement.

Respectfully submitted,

Date: June 28, 2004

James E. Ledbetter

Registration No. 28,732

JEL/mat

ATTORNEY DOCKET NO. <u>JEL 31064</u>
STEVENS, DAVIS, MILLER & MOSHER, L.L.P.
1615 L STREET, NW, Suite 850
P.O. Box 34387
WASHINGTON, DC 20043-4387

WASHINGTON, DC 20043-4387 TELEPHONE: (202) 785-0100 FACSIMILE: (202) 408-5200

FORM PTO-1449 U.S. Department of Commerce (Rev. 4/92) Latent and Trademark Office

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use several sheets if necessary)

ATTY, DOCKET NO.

SERIAL NO.

**JEL 31064** 

09/550,167

**APPLICANT** 

Hiroaki SUDO, et al

FILING DATE April 14, 2000

**GROUP** 

2749

						··		u.s. i	PATEN	IT DOCUME	NTS		<del></del>	т			
FXAMINER		DOCUMENT NUMB					BER			DATE	NAME	CLASS	SUBCLASS	IF APPROPRIATE			
		6	1	6	0	8	2	1		12/2000	Dolle et al			10			
														01.6			
-		·														MAR 1 0 2005 22	
-													A				
	<del>                                     </del>								1								
		-											_				
<del></del>												,					
	<u> </u>						<del>                                     </del>	<del> </del>	<u> </u>								
							├	<u> </u>									
			<u> </u>		<del>                                     </del>	-		<u> </u>	<del> </del> -	<u> </u>		<del> </del>		<u> </u>			
			<u> </u>	l		<u></u>		<u> </u>	l .	1							
	ł			··· -	<u>·</u>			EIGN	PATE	NT DOCUM		1	I	<u> </u>	<u>.</u>		
				ľ	OCUME	NT NUM	BER			DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION			
														YES	NO		
	200	00	2	0	1	1	3	1		07/2000	Japan			Abstract			
	200	00	0	6	8	9	7	2		03/2000	Japan			Abstract			
•		1	1	2	1	5	0	9	7	08/1999	Japan			,			
		1	0	7	3	2	4	1		01/2001	Europe						
											•						
		OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)															
<del></del>		Japanese Office Action dated March 30, 2004, with English translation															
	-									<u> </u>							
									· · · <del>- · · · · · · · · · · · · · · · ·</del>								
						-							<del></del> -				
. <del>-</del>		L					1					-		<del></del>			
EXAMINE	ER						DA	TE CC	NSID	ERED							